

Form 210A (10/06)

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Caturra Associates, LLC

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London Branch  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Jeffrey Olinsky  
Phone: 212-250-5760  
Fax: 212-797-8770

Court Claim # (if known): 24439  
Amount of Claim:  
Date Claim Filed:

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: October 24, 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §§ 152 & 3571

Philip K. Kover  
Vice President

Michael S. Cohen  
Managing Director

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO: Deutsche Bank AG, London Branch ("BUYER")

Caturra Associates, LLC ("SELLER"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement between Buyer and Seller dated as of October 16, 2012, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BUYER, its successors and assigns, all right, title and interest in and to the claim of SELLER against Lehman Brothers Holdings Inc. relating to Proof of Claim No. 24439 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555, originally filed by BTR Global Opportunity Trading Limited.

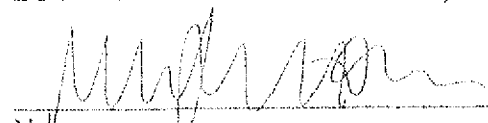
SELLER hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and BUYER herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to BUYER.

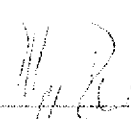
IN WITNESS WHEREOF, dated as of the 24 day of October, 2012.

**SELLER: CATURRA ASSOCIATES, LLC**

  
Name: James F. Mooney III  
Title: Partner

**BUYER: DEUTSCHE BANK AG, LONDON BRANCH**

  
Name: Michael Sutton  
Title: Managing Director

  
Philipp Roever  
Vice President

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Caturra Associates, LLC

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London Branch  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Jeffrey Olinsky  
Phone: 212-250-5760  
Fax: 212-797-8770

Court Claim # (if known): 25422  
Amount of Claim:  
Date Claim Filed:

Phone: N/A

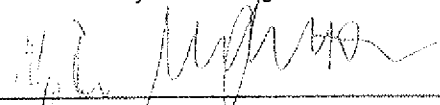
Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: October 24, 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.*

Phillip Roever  
Vice President

Michael S. Saper  
Managing Director

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York


AND TO: Deutsche Bank AG, London Branch ("BUYER")

Caturra Associates, LLC ("SELLER"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement between Buyer and Seller dated as of October 16, 2012, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BUYER, its successors and assigns, all right, title and interest in and to the claim of SELLER against Lehman Brothers Holdings Inc. relating to Proof of Claim No. 25422 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555, originally filed by BTR Global Prospector II Trading Limited.

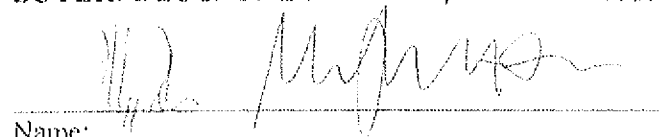
SELLER hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and BUYER herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to BUYER.

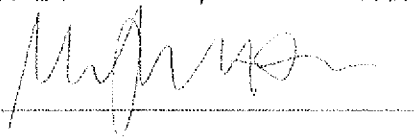
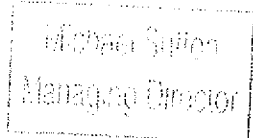
IN WITNESS WHEREOF, dated as of the 24 day of October, 2012.

**SELLER: CATURRA ASSOCIATES, LLC**

  
Name: **James F. Mooney III**  
Title: **Partner**

**BUYER: DEUTSCHE BANK AG, LONDON BRANCH**

  
Name: **Philipp Koeva**  
Title: **Vice President**

Form 210A (10/06)

**United States Bankruptcy Court**  
**Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Caturra Associates, LLC

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London Branch  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Jeffrey Olinsky  
Phone: 212-250-5760  
Fax: 212-797-8770

Court Claim # (if known): 24468  
Amount of Claim:  
Date Claim Filed:

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: October 24, 2012

*Penalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571*

Philip Rocco  
Vice President

Michael Senior  
Managing Director

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York


AND TO: Deutsche Bank AG, London Branch ("BUYER")

Caturra Associates, LLC ("SELLER"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement between Buyer and Seller dated as of October 16, 2012, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BUYER, its successors and assigns, all right, title and interest in and to the claim of SELLER against Lehman Brothers Holdings Inc. relating to Proof of Claim No. 24468 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555, originally filed by BTR Global Prospector Trading Limited.


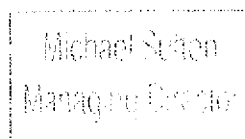
SELLER hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and BUYER herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to BUYER.

IN WITNESS WHEREOF, dated as of the 24 day of October, 2012.

**SELLER: CATURRA ASSOCIATES, LLC**

  
Name: \_\_\_\_\_  
Title: **James F. Mooney III**  
**Partner**

**BUYER: DEUTSCHE BANK AG, LONDON BRANCH**

  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Philip R. Rosen  
Vice President  
  
Michael Stuenkel  
Managing Director

Form 210A (10/06)

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Caturra Associates, LLC

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London Branch  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Jeffrey Olinsky  
Phone: 212-250-5760  
Fax: 212-797-8770

Court Claim # (if known): 22767  
Amount of Claim:  
Date Claim Filed:

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By: 

Date: October 24, 2012

Transferee/Transferee's Agent

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Philippe Koeva  
Vice President

Michael S. Green  
Managing Director

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York


AND TO: Deutsche Bank AG, London Branch ("BUYER")

Caturra Associates, LLC ("SELLER"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement between Buyer and Seller dated as of October 16, 2012, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BUYER, its successors and assigns, all right, title and interest in and to the claim of SELLER against Lehman Brothers Holdings Inc. relating to Proof of Claim No. 22767 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555, originally filed by BTR Global Growth Trading Limited.

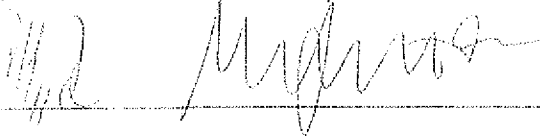
SELLER hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and BUYER herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to BUYER.

IN WITNESS WHEREOF, dated as of the 24 day of October, 2012.

**SELLER: CATURRA ASSOCIATES, LLC**

  
Name: James F. Mooney III  
Title: Partner

**BUYER: DEUTSCHE BANK AG, LONDON BRANCH**

  
Name:  
Title:

Philippe B. ...  
Vice President

Michael Schoen  
Managing Director



Form 210A (10/06)

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Caturra Associates, LLC

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London Branch  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Jeffrey Olinsky  
Phone: 212-250-5760  
Fax: 212-797-8770

Court Claim # (if known): 22274

Amount of Claim:

Date Claim Filed:

Phone: N/A

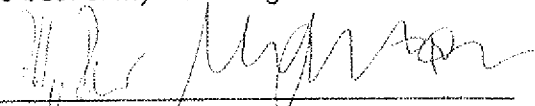
Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

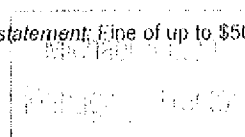
I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: October 24, 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Philipp Kuever  
Vice President



**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

AND TO: Deutsche Bank AG, London Branch ("BUYER")

Caturra Associates, LLC ("SELLER"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement between Buyer and Seller dated as of October 16, 2012, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to BUYER, its successors and assigns, all right, title and interest in and to the claim of SELLER against Lehman Brothers Holdings Inc. relating to Proof of Claim No. 22274 (the "Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555, originally filed by BTR Global Arbitrage Trading Limited.

SELLER hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this assignment of claim as an unconditional assignment and BUYER herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to BUYER.

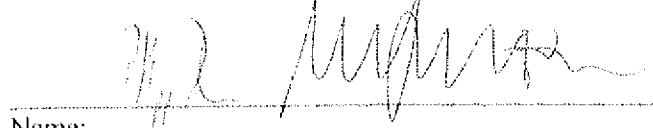
IN WITNESS WHEREOF, dated as of the 19th day of October, 2012.

**SELLER: CATURRA ASSOCIATES, LLC**



Name: **James F. Mooney III**  
Title: **Partner**

**BUYER: DEUTSCHE BANK AG, LONDON BRANCH**



Name:  
Title:

Michael Sutton  
Managing Director

Philippe Weser  
Vice President